

Efficient verification (book: certification)

Q: Is  $w \in Y$  Eg:  $Y$  is IS  
 $w = G; k$

A certificate/witness is a string that supports the claim that  $w \in Y$

Def:  $B$  is an efficient verifier for  $Y$  if

- ①  $B$  takes as input  $w, t$  & output  $B(w, t) \in \{0, 1\}$   
input                      witness
- ②  $B$  runs in time  $\text{poly}(|w|)$
- ③  $w \in Y \iff \exists$  a string/witness  $t$  s.t. (i)  $|t| \leq \text{poly}(|w|)$  AND (ii)  $B(w, t) = 1$

E.g.  $Y = \text{IS}$       i/p:  $w = G; k$

Witness:  $S \subseteq V$  of size  $|S| = k$

Q:  $\exists$  an efficient verifier

Claim 1:  $\exists$  an efficient verifier for IS with Verifier  $B(w, t)$  with witness  $t$

Intuition: If  $G$  has an IS of size  $\geq k \Rightarrow \exists$  an IS  $S$  of size  $= k$

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0. If  $|S| \neq k$  return 0

1. If  $\forall u \neq v \in S$  it is the case that  $(u, v) \notin E$  return 1

$O(k^2)$   
 $\uparrow$   
 $\text{poly}(k)$

else return 0

Claim 2:  $G$  has an IS of size  $\geq k \iff \exists$  a witness  $S \subseteq V$  s.t.  $B(G; k, S) = 1$

DEF:  $Y \in NP$  if  $\exists$  an efficient verifier  $B$  for  $Y$

(Unpack the def a bit):

$Y \in NP$  iff for all inputs  $w$ :

(i)  $w \in Y \Rightarrow \exists$  a witness  $t$  s.t.  $|t| \leq \text{poly}(|w|)$

$$B(w, t) = 1$$

(ii)  $w \notin Y \Rightarrow \nexists$  witness  $t$  s.t.  $|t| \leq \text{poly}(|w|)$

$$B(w, t) = 0$$

IS  $\in NP$

Ex:  $\forall C \in NP$

Big Q:  $P \stackrel{?}{=} NP$

Claim 3:  $P \subseteq NP$

PF:  $Y \in P$  (goal:  $Y \in NP$ )

$\Rightarrow \exists$  an algo  $A$  s.t.  $A(w) = 1 \Leftrightarrow w \in Y$

Show: Efficient verifier  $B(w, t)$  } poly time  
return  $A(w)$

$\Rightarrow \nexists$  witnesses  $t$ ,  $B(w, t) = A(w) \checkmark$

$\Rightarrow Y \in NP$

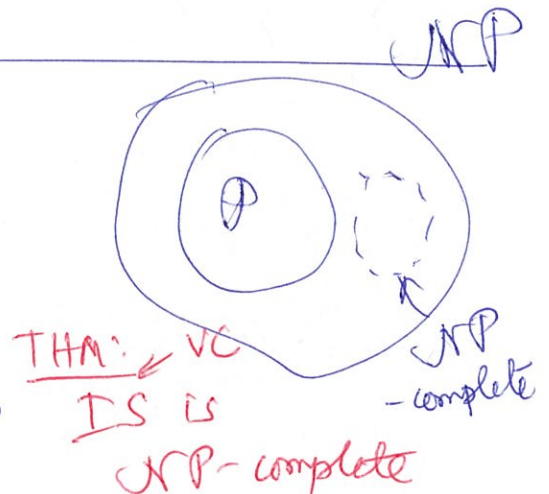
Def:  $X$  is NP-complete if

①  $X \in NP$

②  $\forall Y \in NP, Y \leq_p X$

Claim 4: Let  $X$  be NP complete.

If  $X \in P \Rightarrow P = NP$





Get back:

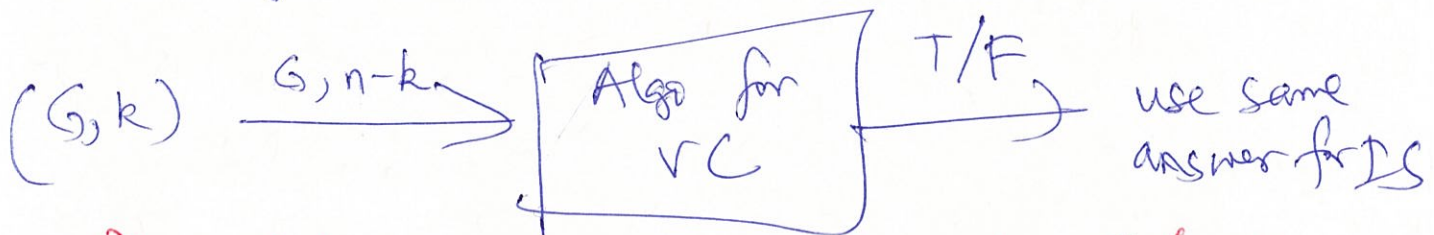
THM: (1)  $IS \leq_p VC$  (2)  $VC \leq_p IS$

Crucial Lemma: Let  $G = (V, E)$

$S$  is an  $IS \iff V \setminus S$  is a  $VC$

Prove (1)  $IS \leq_p VC$

Pf: given  $G; k$  for  $IS$



$G$  has  $IS$  of size  $\geq k \iff G$  has a  $VC$  of size  $\leq n-k$

$\rightarrow$  Pf of  $VC \leq_p IS \uparrow$

Pf (idea) of crucial lemma:

$S$  is an  $IS \implies V \setminus S$  is a  $VC$ .

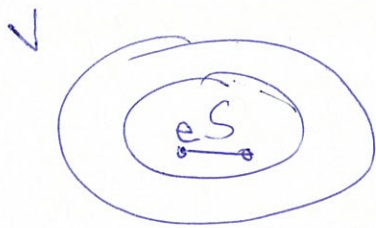
Pf by contradiction

let  $S$  ~~is~~ be an  $IS$

& assume  $V \setminus S$  is not a  $VC$

$\implies e \in$  completely in  $S$

$\implies S$  is not an  $IS \quad \square$



Pf of  $\leftarrow$

is similar (Ex)

# Satisfiability / SAT problem

General:

SAT formula

↳ AND of clauses

↳ OR of literals

$$(X_1 \vee \overline{X_2}) \wedge (\overline{X_1} \vee \overline{X_3}) \wedge (X_2 \vee \overline{X_3}) \quad \hookrightarrow X_i, \overline{X_i}$$

generally:

$$C_1 \wedge C_2 \wedge \dots \wedge C_m \quad C_i: \text{clause}$$

$$\equiv C_1, C_2, \dots, C_m$$

Clause:

OR of literals:  $t_1 \vee t_2 \vee \dots \vee t_k$

each  $t_i \in \{X_1, \dots, X_n, \overline{X_1}, \dots, \overline{X_n}\}$