

Oct 27

$$\text{MergeSort}(a, n) \leq T(n)$$

$$\text{floor } \lfloor 0.3 \rfloor = 0$$

$$\text{Ceil } \lceil 0.3 \rceil = 1$$

$O(1)$ \rightarrow if $n=1$ return a_1

$$O(n) \left\{ \begin{array}{l} a_L = a_1, \dots, a_{\lfloor \frac{n}{2} \rfloor} \\ a_R = a_{\lfloor \frac{n}{2} \rfloor + 1}, \dots, a_n \end{array} \right.$$

$$\text{return MERGE} \left(\begin{array}{l} \text{MergeSort}(a_L, \lfloor \frac{n}{2} \rfloor) \\ \text{MergeSort}(a_R, n - \lfloor \frac{n}{2} \rfloor) \end{array} \right)$$

$T(n)$ def = max # steps MergeSort takes on any input of size n .

$$T(n) \leq O(1) + O(n) + T(\lfloor \frac{n}{2} \rfloor) + T(n - \lfloor \frac{n}{2} \rfloor)$$

if $n=1$, $T(1) \leq O(1)$

$$\text{o/w } T(n) = O(n) + T(\lfloor \frac{n}{2} \rfloor) + T(n - \lfloor \frac{n}{2} \rfloor)$$

$$\leq O(n) + T(\frac{n}{2}) + T(n - \frac{n}{2})$$

$$= O(n) + 2T(\frac{n}{2})$$

$$T(n) = \begin{cases} O(1) & \text{if } n=1 \\ O(n) + 2T(\frac{n}{2}) & n > 1 \end{cases}$$

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$$T(n) = \begin{cases} O(1) & \text{if } n=1 \\ O(n) + T(\lfloor \frac{n}{2} \rfloor) + T(\lceil \frac{n}{2} \rceil) & \text{o/w} \end{cases}$$

By definition of Big-Oh, \exists ~~constants~~ constants c_1, c_2

$$T(n) \leq \begin{cases} c_1 & \text{if } n=1 \\ c_2 n + T(\lfloor \frac{n}{2} \rfloor) + T(\lceil \frac{n}{2} \rceil) & \text{o/w} \end{cases}$$

Pick $c = \max(c_1, c_2) \Rightarrow$

$$T(n) \leq \begin{cases} c & \text{if } n=1 \\ cn + T(\lfloor \frac{n}{2} \rfloor) + T(\lceil \frac{n}{2} \rceil) & \text{o/w} \end{cases}$$

Rule of thumb:
replace

for asymptotics of $T(n)$

$$T(\lfloor x \rfloor) \leftarrow T(x), \quad T(\lceil x \rceil) \leftarrow T(x)$$

$$\Rightarrow T(n) \leq \begin{cases} c & \text{if } n=1 \\ cn + 2T(\frac{n}{2}) & \text{o/w} \end{cases} \leftarrow \text{recurrence}$$

Lemma: $T(n) \leq cn \log_2 n + cn$

\Rightarrow MergeSort runs in $O(n \log n)$ time

Some remarks:

- ① $O(n \log n)$ time is the ~~best~~ known upper-bound for general sorting algo.
- ② We can do sorting in $O(n)$ time if e.g. the domain of a_i is of size $O(n)$ {e.g. T/F #1, $a_i \in \{0, 1\}$ }
- ③ Can faster runtime if the input is "mostly" sorted
- ④ Any comparison based algo needs to make $\Omega(n \log n)$ comparisons.

Strategies for solving recurrences

① "Unroll" the recurrence for few steps & identify a "pattern" → use this pattern to prove an upper bound.

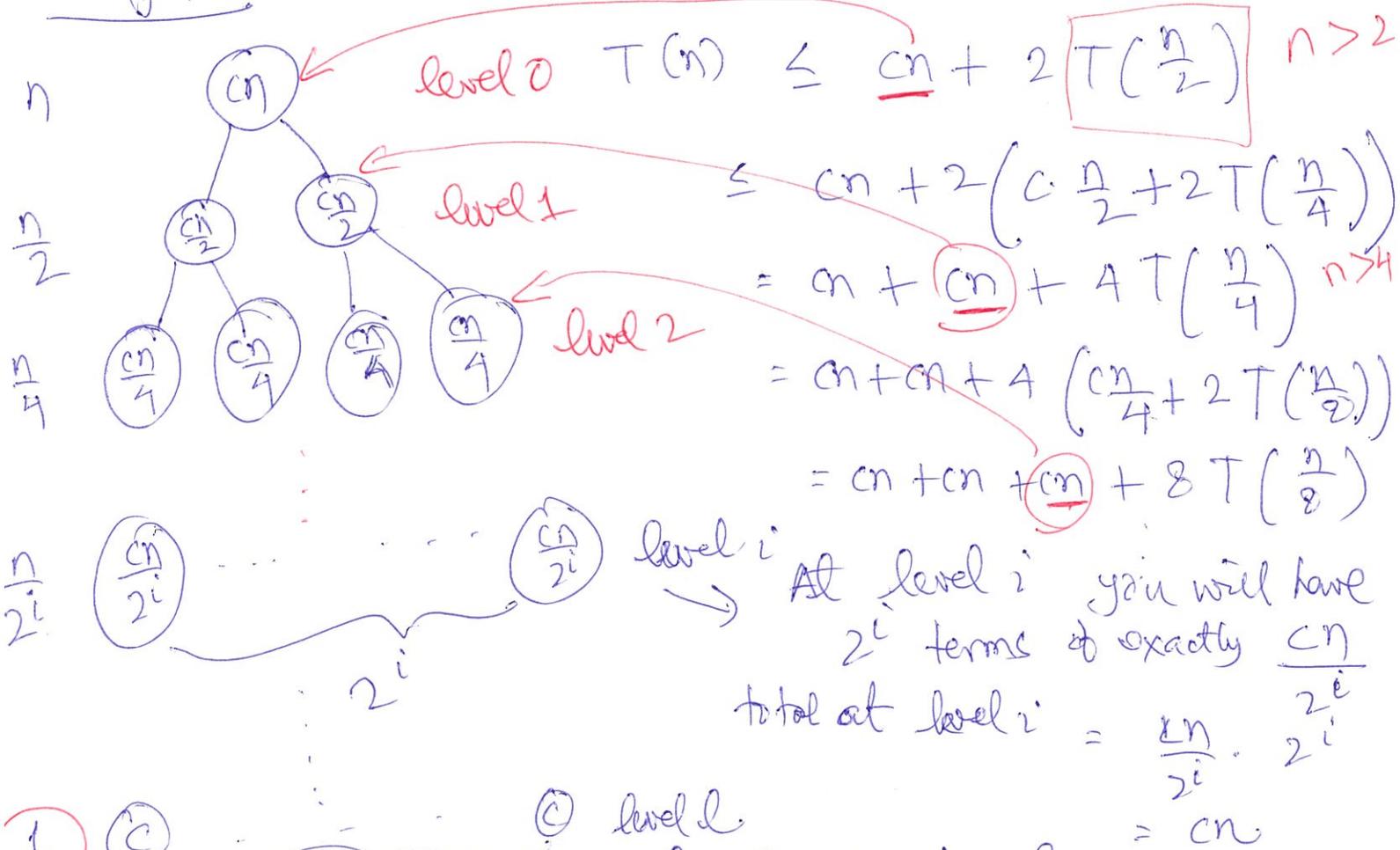
② Guess the answer & verify by induction

$$T(n) \leq \begin{cases} c & \text{if } n=1 \\ cn + 2T(\frac{n}{2}) & \text{o/w} \end{cases}$$

Goals: $T(n) \leq cn \log_2 n + cn$

Assume: n is a power of 2

Strategy 1: "Unroll" the recurrence.



① $\frac{n}{2^l} = 1$

$\Rightarrow 2^l = n$

$\Rightarrow l = \log_2 n$

level l

$\Rightarrow T(n) \leq cn(l+1)$

$= cn(\log_2 n + 1)$

$= cn \log_2 n + cn$

Strategy 2: Guess $T(n) \leq cn \log_2 n + cn$ — (*)

Verify this by induction on n

Base case : $n=1$ By def $T(1) \leq c$ (1)

$$cn \log_2 n + cn \xrightarrow{n=1} c \cdot 1 + \log_2 1 + c$$

$$\Rightarrow T(1) \leq c = \downarrow \text{for } n=1 \quad \begin{matrix} = 0+c \\ = c \end{matrix}$$

I.H. Assume (*) is (1) true for $\frac{n}{2}$

$$\Rightarrow T\left(\frac{n}{2}\right) \leq c \cdot \frac{n}{2} \cdot \log_2 \frac{n}{2} + \frac{cn}{2}$$

$$= \frac{cn}{2} (\log_2 \frac{n}{2} + 1)$$

$$= \frac{cn}{2} (\log_2 n - \log_2 2 + 1)$$

$$= \frac{cn}{2} \log_2 n$$

I.S. By definition at $n \geq 2$

$$T(n) \leq cn + 2T\left(\frac{n}{2}\right)$$

$$\text{I.H.} \rightarrow \leq cn + 2 \left(\frac{cn}{2} \log_2 n \right)$$

$$= cn + cn \log_2 n$$

$$\leq 2cn \log_2 n = O(n \log n)$$

Collaborative filtering

Each user: ranking of shows
& ranking

a_1, \dots, a_n
most preferred \rightarrow least preferred

n shows $1, \dots, n$
 $a_i \in [n]$

Netflix! given a user / ranking, a close enough user / ranking.

Simpler problem: Given 2 rankings a_1, \dots, a_n
 b_1, \dots, b_n

compute how "close" these 2 rankings are

One notion: $\sum_{i=1}^n |a_i - b_i|$ ← named distance

Other notion: count # pairs of shows ranked differently.