

Q1020 LEMMA 3: Any optimal schedule  $\sigma'$  with 0 idle time (but non-zero # inversions), then we can convert  $\sigma'$  to  $\sigma$  s.t. (1)  $\sigma$  is also optimal (2)  $\sigma$  has 0 idle time AND (3)  $\sigma$  has no inversions.

Notation: (1)  $idle(S)$  = idle time of  $S$  (2)  $\#inv(S)$  = # inversions in  $S$ .

Assume:  $\#inv(\sigma') > 0$ .

Pf idea: By "exchange argument"

$\sigma' = \sigma_1 \rightarrow \sigma_2 \rightarrow \dots \sigma_i \rightarrow \sigma_{i+1} \rightarrow \dots \sigma_m \rightarrow \sigma_{m+1} = \sigma$   
 $idle(\sigma') = 0$   
 $\#inv(\sigma') > 0$

Properties: (i) If  $idle(\sigma_i) = 0 \Rightarrow idle(\sigma_{i+1}) = 0$   
 (ii)  $\#inv(\sigma_i) > 0 \Rightarrow \#inv(\sigma_{i+1}) = \#inv(\sigma_i) - 1$   
 (iii)  $L(\sigma_{i+1}) \leq L(\sigma_i)$

Idea: Apply this transformation (say  $m$  times)  
 s.t.  $\#inv(\sigma_{m+1}) = 0$

Note: (i)  $idle(\sigma_1) = 0 = idle(\sigma_2) = idle(\sigma_3) = \dots = idle(\sigma_m) = idle(\sigma)$

(ii)  $\#inv(\sigma_{m+1}) = \#inv(\sigma) = 0$

(iii)  $L(\sigma) = L(\sigma_{m+1}) \leq L(\sigma_m) \leq L(\sigma_{m-1}) \leq \dots \leq L(\sigma_2) \leq L(\sigma_1) = L(\sigma')$

$\Rightarrow \sigma$  is optimal

$L(\sigma) = L(\sigma')$

NOTE:  $\#inv(\sigma_1) \leq$  ~~max~~ total # pairs  
 $= \binom{n}{2} \leq n^2$

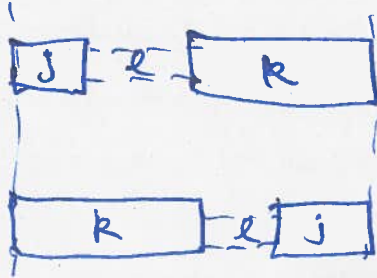
$\Rightarrow m \leq n^2$

We want:  $\forall i \quad Q_i \rightarrow Q_{i+1}$  s.t. if  $\text{idle}(Q_i) = 0$

- $\Rightarrow$  (i)  $\text{idle}(Q_{i+1}) = 0$  (ii)  $\# \text{inv}(Q_{i+1}) = \# \text{inv}(Q_i) - 1$   
 (iii)  $L(Q_{i+1}) \leq L(Q_i)$

$\# \text{inv}(Q_i) > 0 \Rightarrow \exists$  an inversion ~~(j,k)~~ (j,k)

$Q_i$ :  
 Swap j & k  
 $Q_{i+1}$ :



$d_k \downarrow$   $d_j \uparrow$   
Obs:  ~~$\# \text{inv}(Q_{i+1})$~~   $\# \text{inv}(Q_{i+1}) < \# \text{inv}(Q_i)$   
 Ex: Pfb by case analysis.

BUT! max lateness could increase.

Special:



is good for max lateness ✓

Claim: Special is not so special!

Will argue:

Property (a): [ $\text{as } \# \text{inv}(Q_i) > 0$ ]  $\exists$  an inversion (j,k)



Property (b): Swap j & k to get  $Q_{i+1} \Rightarrow \text{idle}(Q_{i+1}) = 0$   
 $\Rightarrow \# \text{inv}(Q_{i+1}) = \# \text{inv}(Q_i) - 1$

Property (c):  $L(Q_{i+1}) \leq L(Q_i)$

Property (a): Assume  $\exists$  jobs  $k'$  between  $j$  &  $k$   
 $d_{k'} < d_k < d_j$

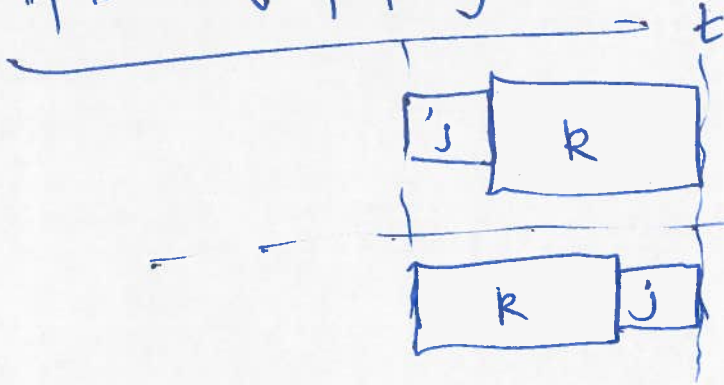
Easy case:  $(k', k)$  is an inversion ✓

Other Case:  $(k', k)$  is NOT an inversion  
 $\Rightarrow d_{k'} \leq d_k$  BUT  $d_j > d_k$  as  $(j, k)$  is an inversion  
 $\Rightarrow d_j > d_{k'} \Rightarrow (j, k')$  is an inversion.



→ Apply above  $\leq b$  times ✓

Idea of property (C)



$\forall i \neq j$  or  $k$   
 $l_i$  does not change.

$l'_i = l_i$   
 ↗ lateness in  $Q_{i+1}$   
 ↖ lateness in  $Q_i$

Need:  $\max(l'_j, l'_k) \leq \max(l_j, l_k)$

Easy case: (A):  $l'_k \leq l_k \leq \max(l_k, l'_j)$

~~But~~ Other case: It is possible  $l'_j > l_j$

BUT:  $l'_j = t - d_j - 1$  [assume  $l'_j > 0$ .]

$< t - d_k - 1$  [note:  $d_k < d_j$   
 $\Leftrightarrow -d_j < -d_k$   
 $= l_k$

$\Rightarrow l'_j < l_k \leq \max(l_k, l'_j)$  — (B)

(A) + (B)  $\Rightarrow \max(l'_j, l'_k) \leq \max(l_j, l_k)$